



ORIGINAL

Electric Load Aggregation Application Form

NHPUC 27JAN'20PM2:10

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	Balanced Rock Energy, Inc.
	Trade Name (d/b/a) (if applicable)	
Puc 2006.02(b)	Business Mailing Address	41 Stonegate Cir Wilbraham, MA 01095
	Telephone Number	413-237-4436
	E-Mail Address	djoyce@balancedrockenergy.com
	Website Address (if applicable)	www.balancedrockenergy.com
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), 1 if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name	Daniel Joyce
	Title	President
	Business Mailing Address	41 Stonegate Cir
		Wilbraham, MA 01095
	Telephone Number	413-237-4436
	E-Mail Address	djoyce@balancedrockenergy.com
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	Email Address	
	Name	
	Title	
	Business Mailing Address	
Telephone Number	- -	
E-Mail Address		

1 "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	Daniel Joyce
	Title	President
	Telephone Number	413-237-4436
	Toll-Free Telephone Number (if available)	800-229-1172
	E-Mail Address	djoyce@balancedrockenergy.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Daniel Joyce
	Title	President
	Business Mailing Address	41 Stonegate Cir
		Wilbraham, MA 01095
	Telephone Number	413-237-4436
E-Mail Address	djoyce@balancedrockenergy.com	

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Daniel Joyce
	Title	President
	Business Mailing Address	41 Stonegate Cir
		Wilbraham, MA 01095
	Telephone Number	413-237-4436
E-Mail Address	djoyce@balancedrockenergy.com	

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Daniel Joyce
	Title	President
	Business Mailing Address	41 Stonegate Cir
		Wilbraham, MA 01095
	Telephone Number	413-237-4436
E-Mail Address	djoyce@balancedrockenergy.com	

Separate Attachments: Business Authority and Trade Name		
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.	
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.	

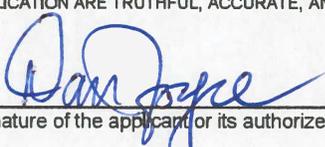


Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.		

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	MA, CT, RI, NY

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	Yes
If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed. CHAMPION ENERGY SERVICES, LLC, CONSTELLATION NEWENERGY, INC., DIRECT ENERGY BUSINESS, LLC, DIRECT ENERGY BUSINESS MARKETING, LLC, DIRECT ENERGY SERVICES, LLC, EDF ENERGY SERVICES, LLC, ENGIE RESOURCES LLC, FIRST POINT POWER, LLC, MP2 ENERGY NE LLC, AND NEXTERA ENERGY SERVICES		

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	APRIL 1, 2018 Date

Attestation and Signature		
Puc 2006.02(l) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.	
 Signature of the applicant or its authorized representative		1-21-19 Date
Name: DANIEL JOYCE		
Title: PRESIDENT		

Filing Instructions		
1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301		
2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov		

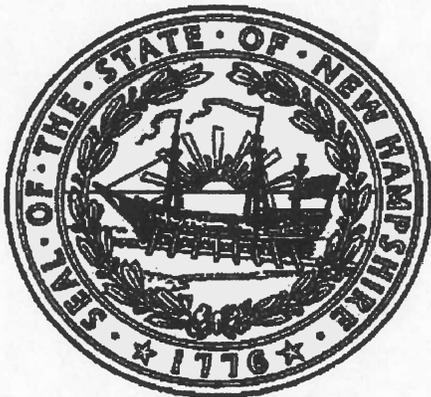
State of New Hampshire
Department of State

CERTIFICATE OF AUTHORITY OF
BALANCED ROCK ENERGY, INC.

The Secretary of State of the State of New Hampshire hereby certifies that an Application of **BALANCED ROCK ENERGY, INC.** for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to **BALANCED ROCK ENERGY, INC.** to transact business in this State under the name of **BALANCED ROCK ENERGY, INC.**, and attaches hereto a copy of the Application for such Certificate.

Business ID: 787078



IN TESTIMONY WHEREOF,
I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 29th day of January A.D. 2018.

A handwritten signature in black ink, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State

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Business Information

Business Details

Business Name: BALANCED ROCK ENERGY, INC.	Business ID: 787078
Business Type: Foreign Profit Corporation	Business Status: Good Standing
Business Creation Date: 01/29/2018	Name in State of Incorporation: BALANCED ROCK ENERGY, INC.
Date of Formation in Jurisdiction: 02/01/2016	
Principal Office Address: 41 Stonegate Cir, Wilbraham, MA, 01095, USA	Mailing Address: 41 Stonegate Cir, Wilbraham, MA, 01095, USA
Citizenship / State of Incorporation: Foreign/Massachusetts	
	Last Annual Report Year: 2019
	Next Report Year: 2020
Duration: Perpetual	
Business Email: djoyce@balancedrockenergy.com	Phone #: 413-237-4436
Notification Email: djoyce@balancedrockenergy.com	Fiscal Year End Date: NONE

Principal Purpose

S.No	NAICS Code	NAICS Subcode
1	OTHER / Balanced Rock Energy is an energy consulting firm. Services include electricity and natural gas procurement, energy efficiency contracting and onsite generation development.	

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Principals Information

No Principal(s) listed for this business.

Registered Agent Information

Name: Registered Agents Inc.

Registered Office Address: 159 Main Street S100, Nashua, NH, 03060, USA

Registered Mailing Address: 159 Main Street S100, Nashua, NH, 03060, USA

Trade Name Information

No Trade Name(s) associated to this business.

Trade Name Owned By

No Records to View.

Trademark Information

Trademark Number	Trademark Name	Business Address	Mailing Address
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No records to view.

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